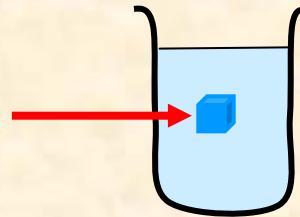


# Initial stages of crystallisation

Elias Vlieg

*IMM Solid State Chemistry, Radboud University Nijmegen, The Netherlands*

- Nucleation
  - subcritical clusters
  - laser-induced nucleation
- Chiral purification through crystal grinding
  - crystal size distribution



MID XFEL Workshop, 28-29 October 2009, ESRF

# Classical nucleation theory

- Crystal nucleation not well understood
  - too fast
  - too few
  - too small
- Important
  - dictates crystalline form (polymorph)
    - pharmaceutical industry!
  - Ostwald's rule of stages
    - first least stable crystal is formed, later more stable
    - happens during nucleation



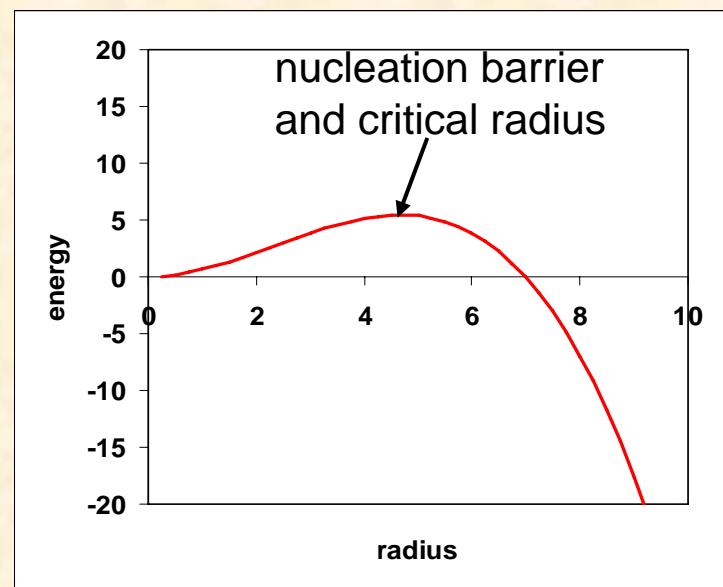
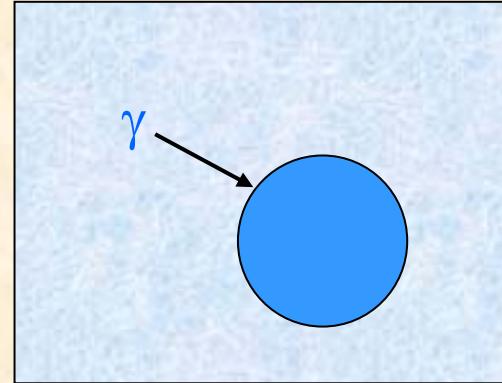
# Nucleation

- dominated by surface/size effects
  - nucleation barrier
- classical nucleation theory
  - assume spherical nucleus, radius  $r$
- driving force:  $\Delta\mu$ 
  - surface free energy:  $\gamma$
  - volume per growth unit:  $V_0$
- Free energy:

$$G(r) = -\frac{\frac{3}{4}\pi r^3}{V_0} \Delta\mu + 4\pi r^2 \gamma$$

↑  
 bulk crystal:  
 gain

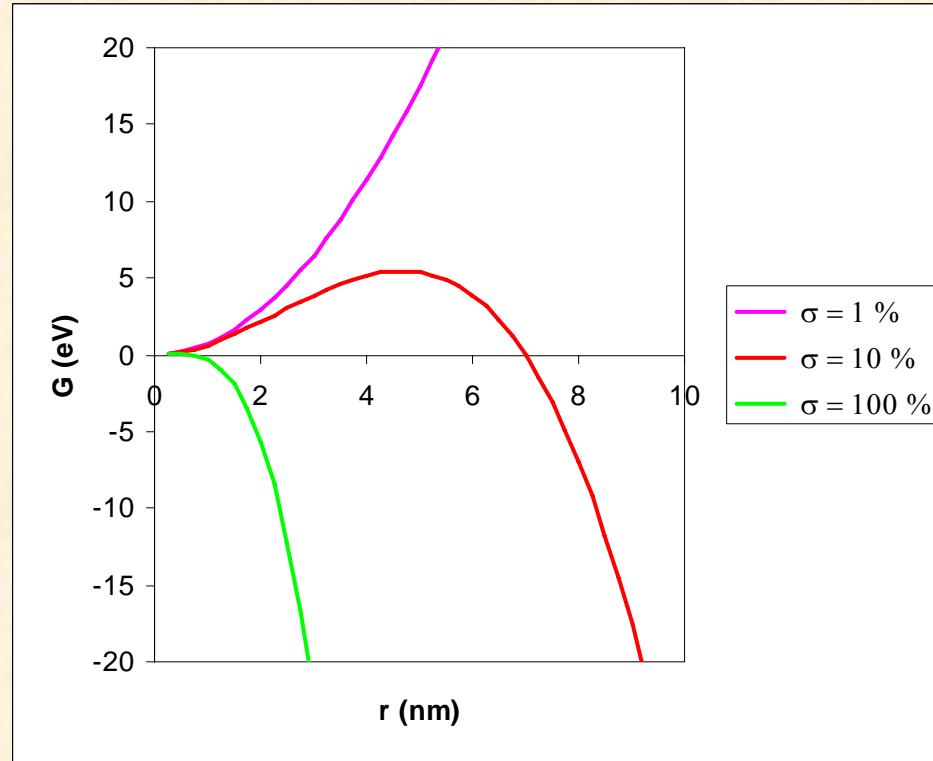
↑  
 surface energy:  
 loss

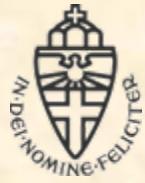


# Effect of supersaturation $\sigma$

$$\Delta\mu = kT \ln\left(\frac{c}{c_{eq}}\right) = kT\sigma$$

- Probability of nucleation depends strongly on supersaturation
- Solution contains transient clusters below the critical size





# Observing nuclei

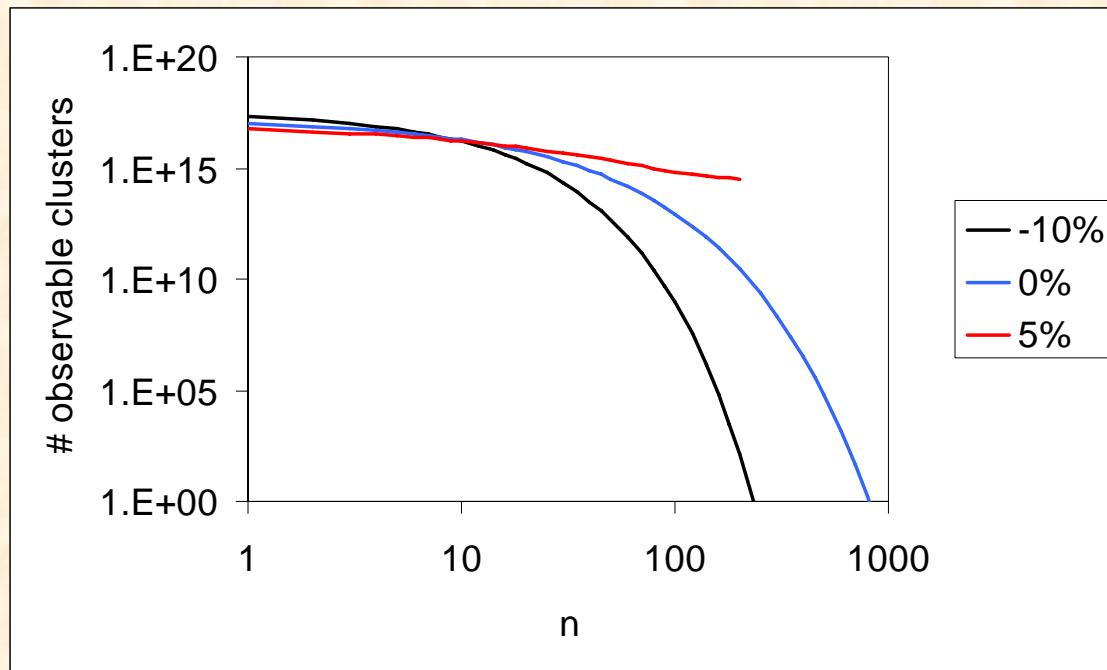


Two options

1. Watch actual nucleation event
  - rare
2. Watch transient precritical clusters
  - lots

# Precritical clusters

- sample cell of 5 mm
- KCl
- critical cluster at  $\sigma = 5\%$ ,  $n^* = 220$





# Observable intensity

$$I_{peak} \approx (r_e n F)^2 \psi$$

- flux  $10^{12}$  photons/pulse
  - after single pulse, cluster destroyed (?)
- $n \sim 220$
- $F \sim 35$

# scattered photons in single pulse

- $10 \times 10 \mu\text{m}^2$  beam: ~5
- $1 \times 1 \mu\text{m}^2$  beam: ~500
- $0.1 \times 0.1 \mu\text{m}^2$  beam: ~50,000 ← OK?



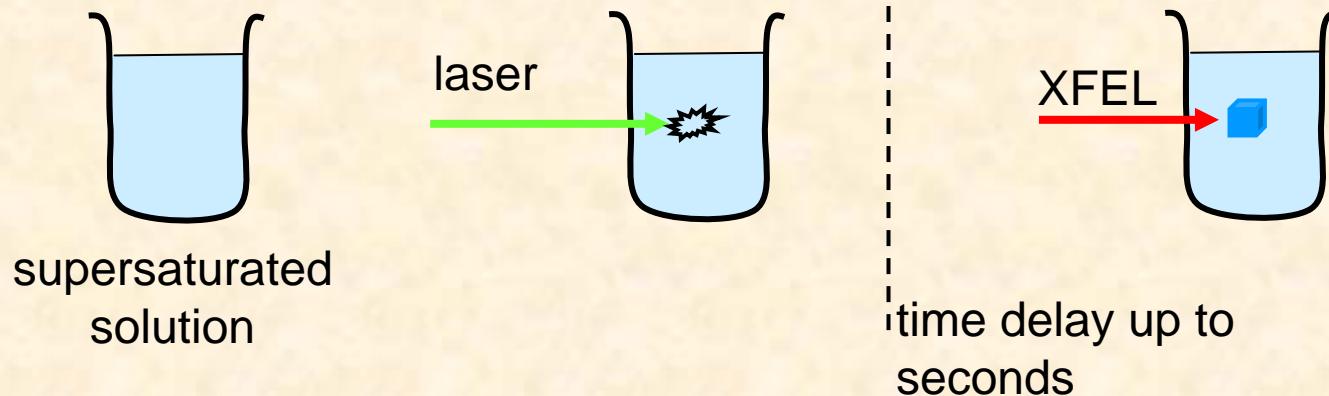
# Beyond critical clusters



- Need larger cluster = growing nucleus
- How to find nucleus at the right time and the right place?
  - random
    - like winning the lottery
    - rapidly nucleating system will increase chances
  - many experiments are possible at rep-rate of XFEL
    - select only the successful ones
    - high-energy physics style

# Laser-induced nucleation

- Idea: use laser to induce nucleation
  - has been observed on e.g. KCl in single pulse
  - A.J. Alexander and P.J. Camp, Cryst. Growth & Design 9 (2009) 958.
  - ‘instantaneous’ (single pulse of 7 ns 1064 nm laser)
- Should produce growing nucleus at right time and place
- In situ: at least 12.4 keV

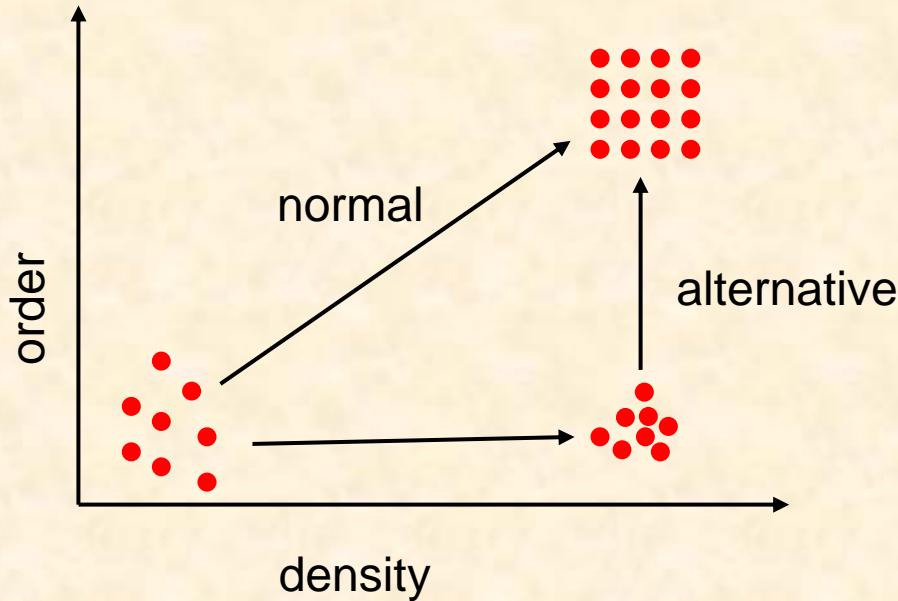




# Intensity from nucleus

- Observe growing cluster after small time delay,  $1 \mu\text{m}^2$  beam
  - radius 10 nm;  $n = 58,000$        $3 \times 10^7$  photons/pulse
  - radius 50 nm;  $n = 7.3 \times 10^6$        $5 \times 10^{10}$  photons/pulse
- Many experiments needed
  - orientation of nucleus is random
- Determine
  - shape
  - crystallinity
    - Bragg scattering: crystalline part
    - forward scattering: high-density part
  - polymorph

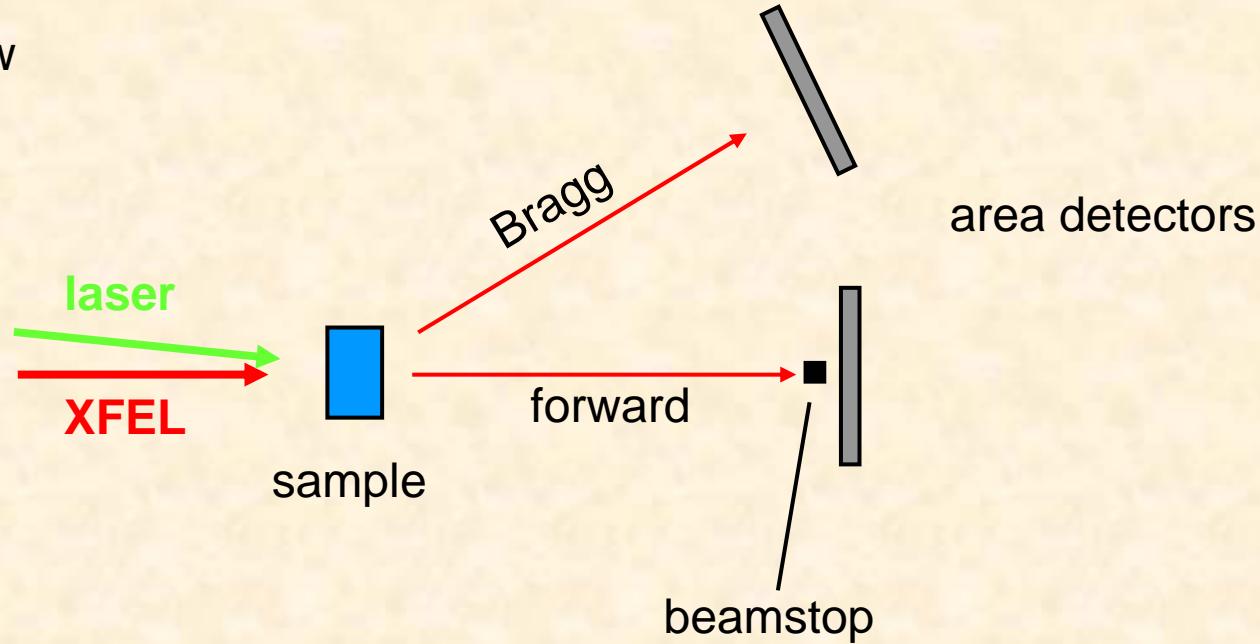
# Non-classical nucleation



- Two step mechanism
  - first density change
  - next crystalline order
- Again: Bragg + forward scattering

# Experimental geometry

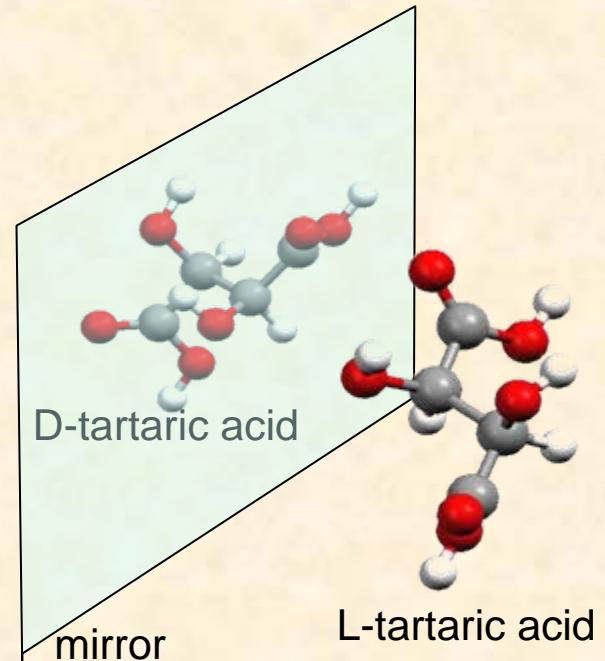
top view



- single shot
  - system needs time to recover or move to fresh position

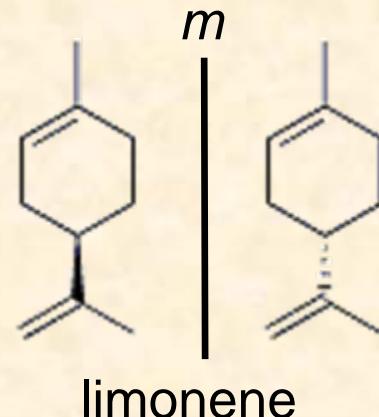
# Chirality

- Many molecules have handedness
  - left/right
  - +/-
  - D/L
  - R/S (absolute configuration)
- Non-superimposable mirror images
- Enantiomers
- Chemical synthesis
  - usually racemic (50:50) mixture
- Natural amino acids
  - (almost all) left-handed
  - why?



# Enantiomers

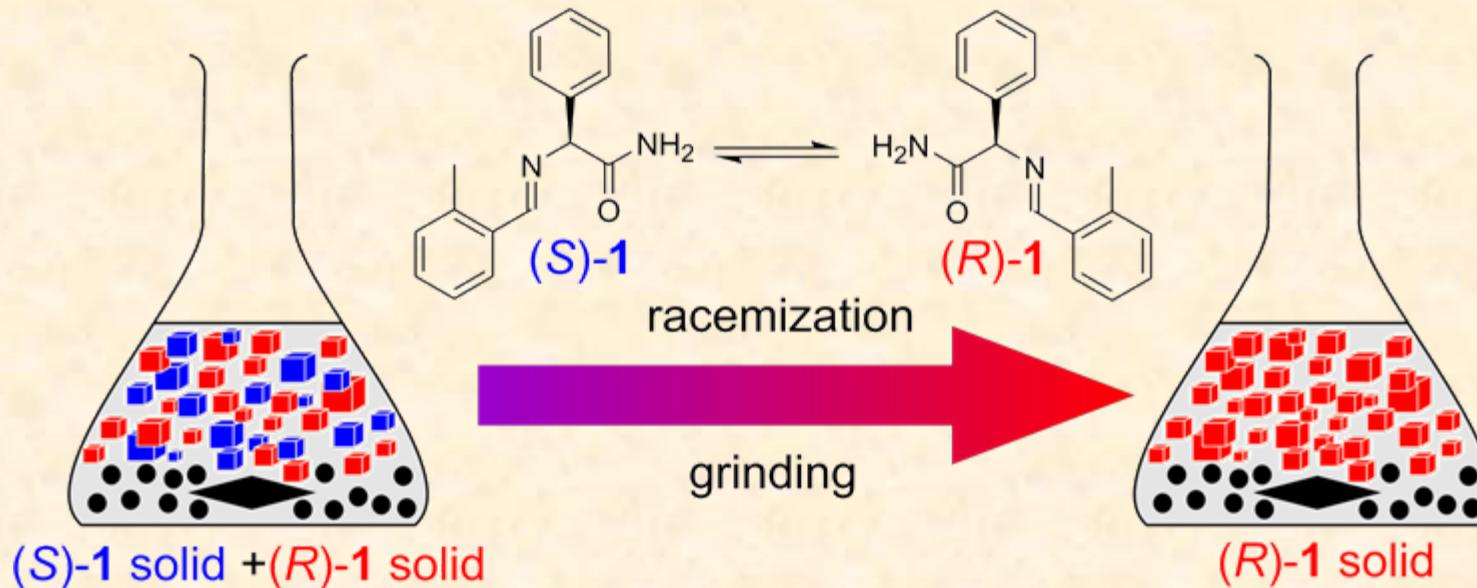
- In achiral environment
  - same physical properties (melting point, etc.)
- In chiral environment (human body)
  - very different properties
  - often important to select one enantiomer (drugs, thalidomide )



# Chiral purification through crystal grinding

## Model system

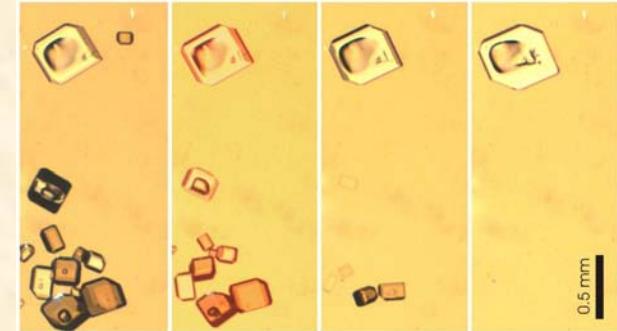
- N-(2-methylbenzylidene)-phenylglycine amide
- DBU as racemizing catalyst



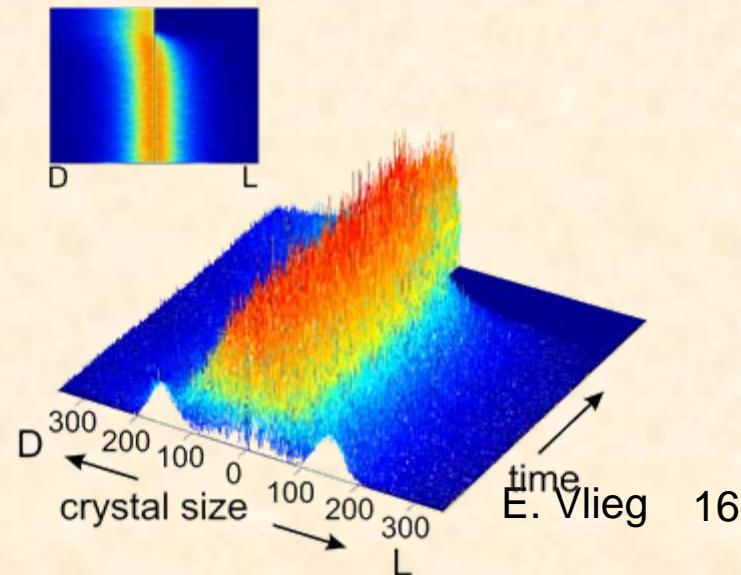
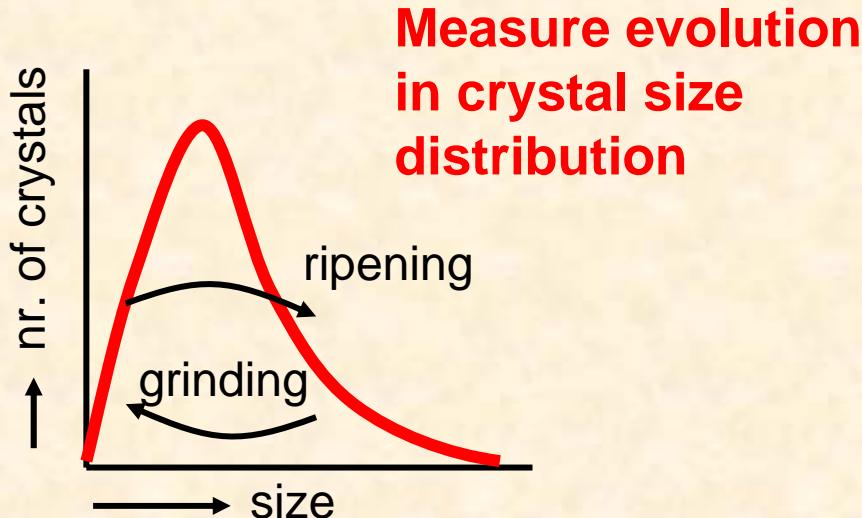
# Mechanism?

## Attrition-enhanced Ostwald ripening

- Ostwald ripening
  - crystals get bigger
- Attrition
  - crystal get smaller



$\text{NaClO}_3$ ,  
Wim Noorduin et al. Crystal  
Growth & Des. 8 (2008) 1675

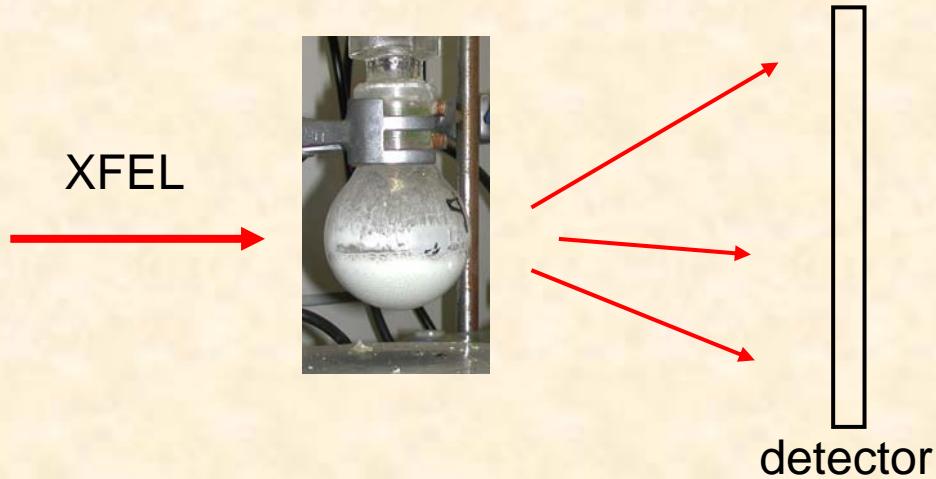


# Crystal size distribution



- tens of thousands of crystals
- size from nm (dissolving) to  $\sim 10 \mu\text{m}$ 
  - Smallest crystals are thought to play crucial role
    - direct incorporation
- ideally: distinguish between left and right

# XFEL experiment



- Extract size and shape from coherent images along powder ring
  - large crystals: easy
  - small crystals (important!): difficult
- Accumulate statistics by several separated shots
  - give system some recovery time
- Watch evolution
  - hours time scale



# Conclusion

- XFEL should make nucleation visible
- For in situ experiments, harder X-rays useful